



Facial Emotion Recognition (FER)

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FER-2013 dataset

happy



happy



happy



disgust



disgust



neutral



neutral



neutral



fear



fear



surprise



surprise



surprise



sad



sad



angry



angry



angry



Data Preprocessing and Augmentation

- Standardization: Images are resized to 48x48 pixels and denoised to improve quality.
- Data Augmentation: Augment minority classes with transformation techniques like rotation, flipping, and zooming while downsampling some majority classes.

Feature Extraction: HOG + DCT

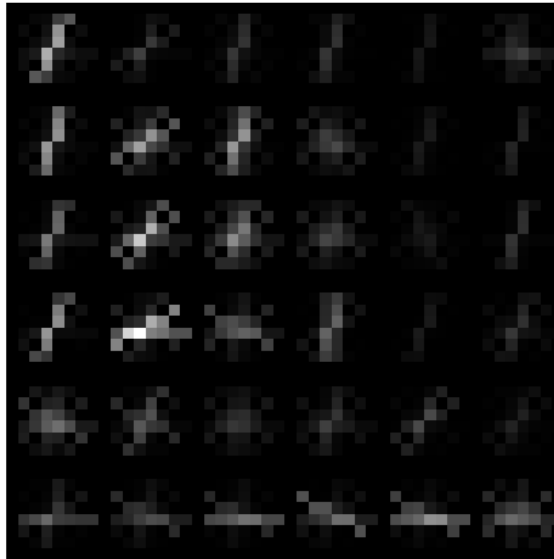
Step 1: Extract HOG (Histogram of Oriented Gradients) features

Step 2: Apply DCT (Discrete Cosine Transform) to HOG features

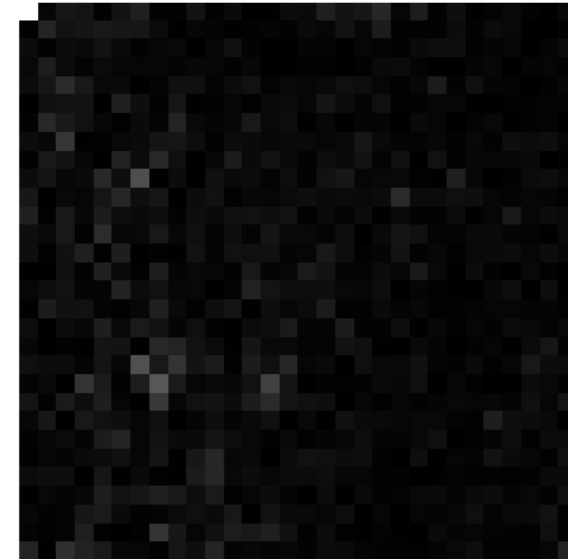
Original Image - Label: sad



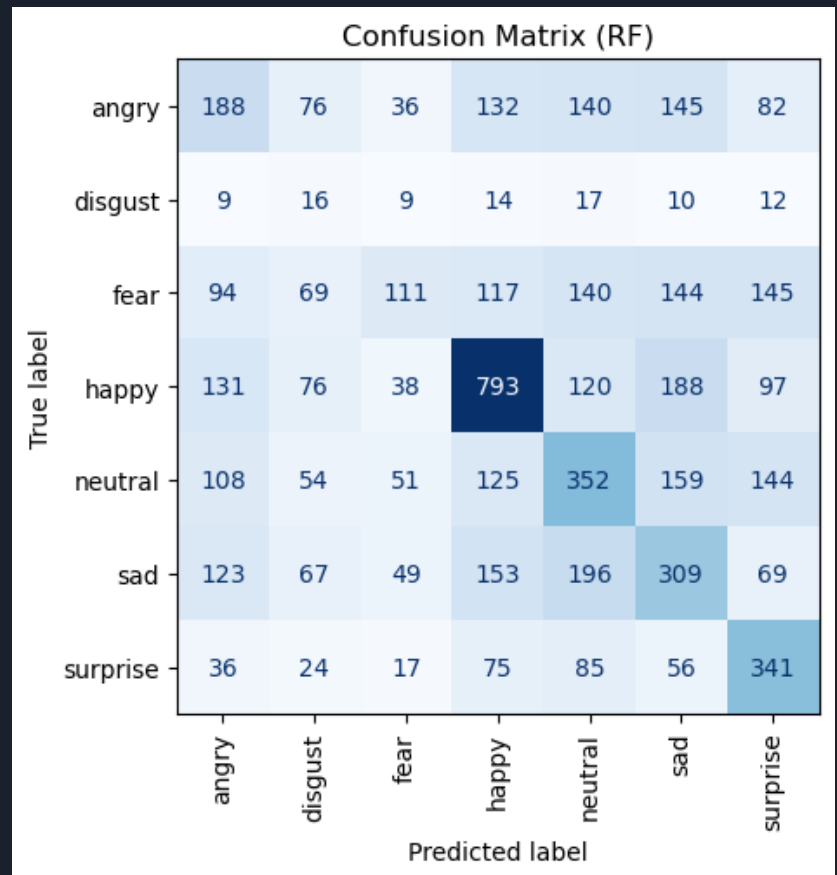
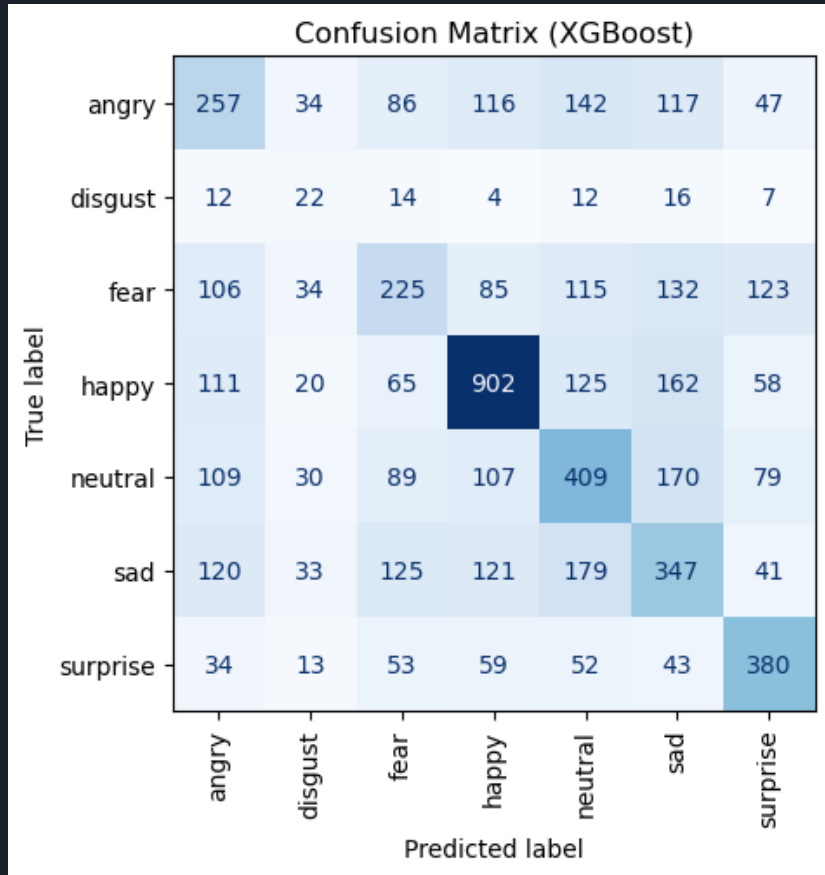
HOG Features



DCT of HOG Features

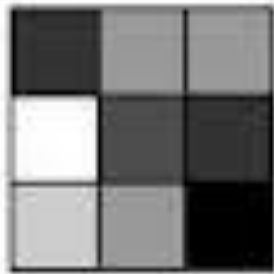


Classification with HOG + DCT



Feature Extraction:LBP

3 X 3 Neighborhood



Threshold 50

70	30	30
0	50	60
20	30	90

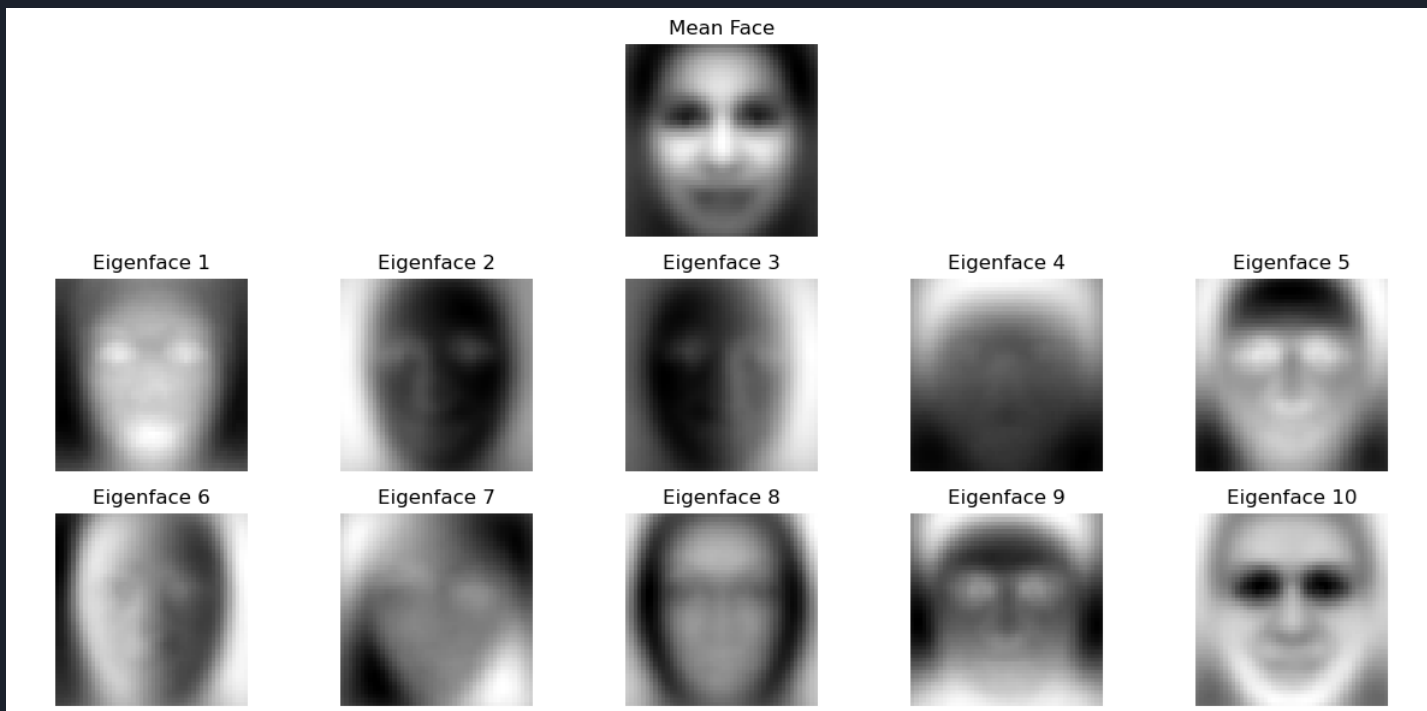
Binary
00011001

1	0	0
0	1	1
0	0	1

Pattern = 00011001
LBP = 1 + 8 + 16 = 25

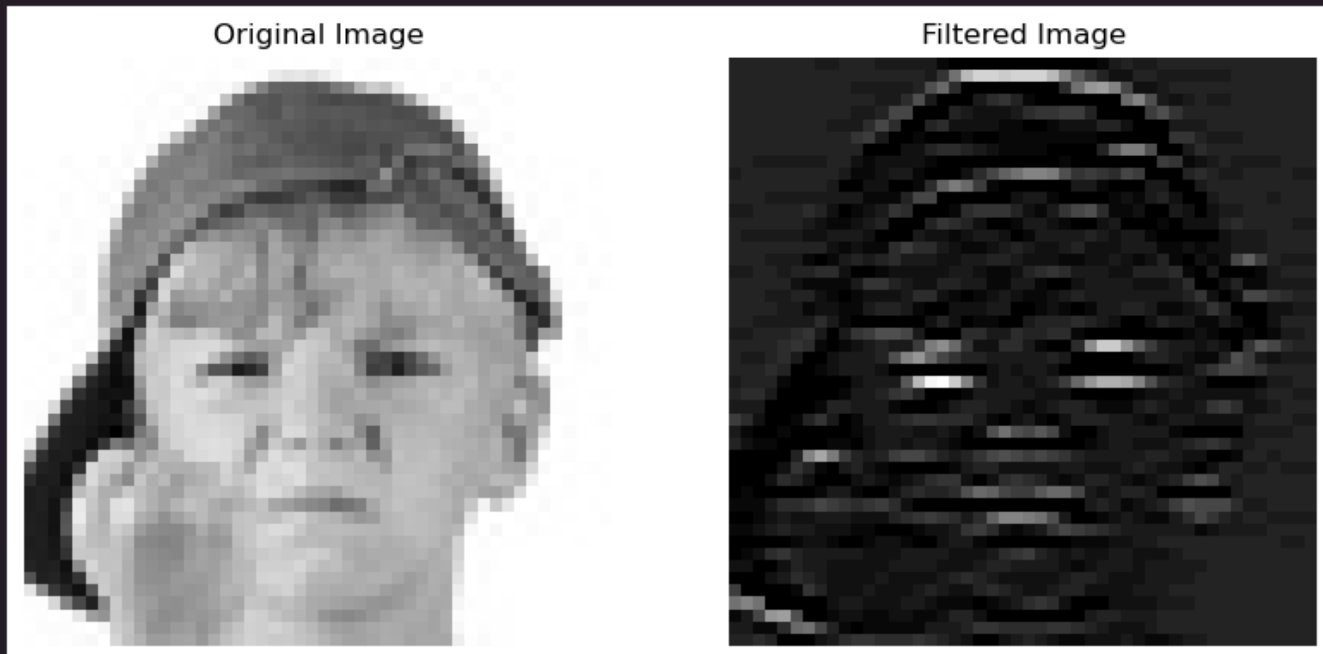
Feature Extraction: Eigenface Analysis

- Eigenfaces are the "principal components" of a dataset of face images.
- Each eigenface represents a specific direction of variation in facial features, which has a good explanation of the variance.



Feature Extraction:Gabor Filter

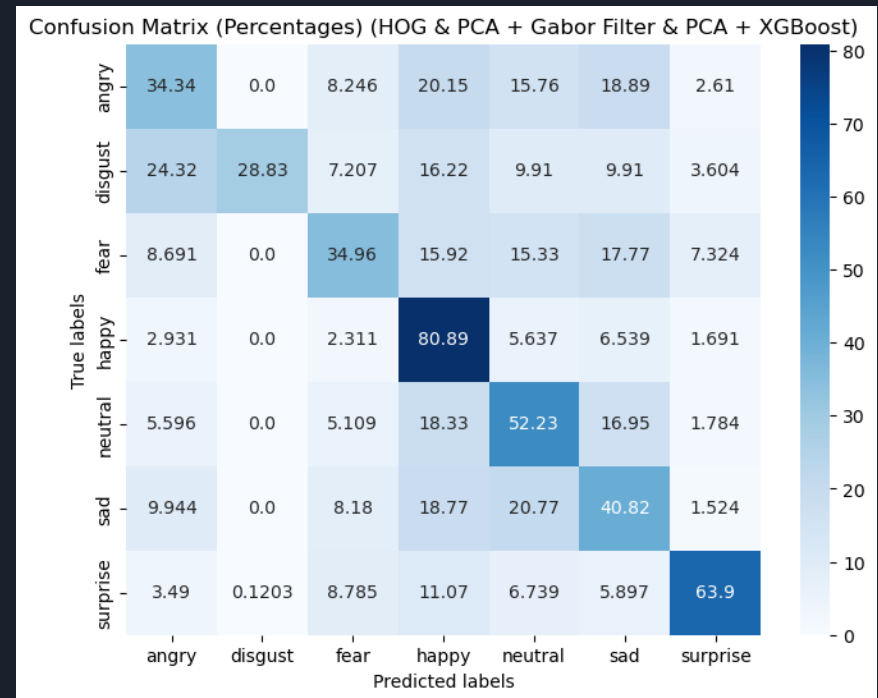
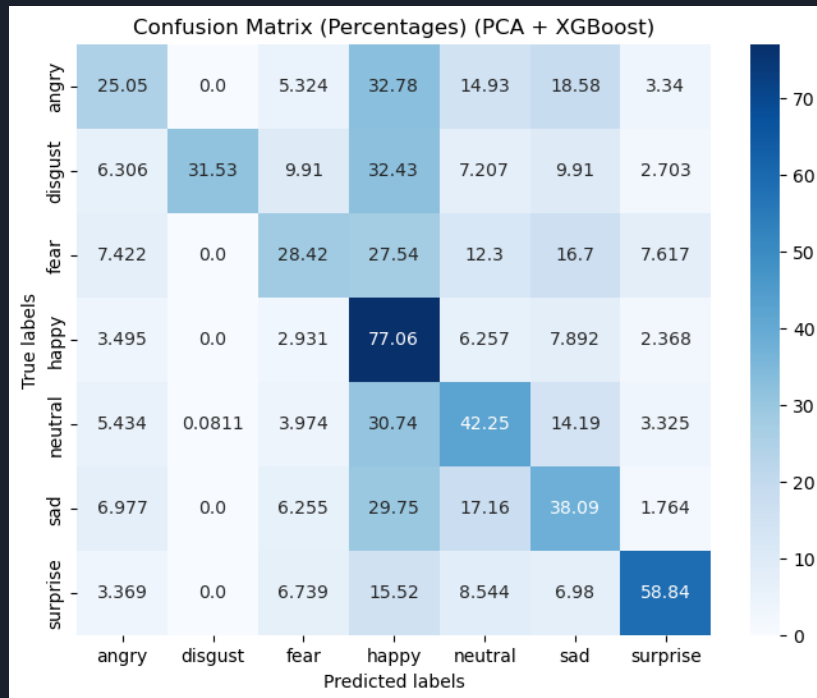
- A linear filter used in image processing that's especially good at analyzing textures and edges.
- It essentially looks for specific frequencies and orientations within localized regions of an image for special patterns.



The Performance of Some Feature-based Classifiers

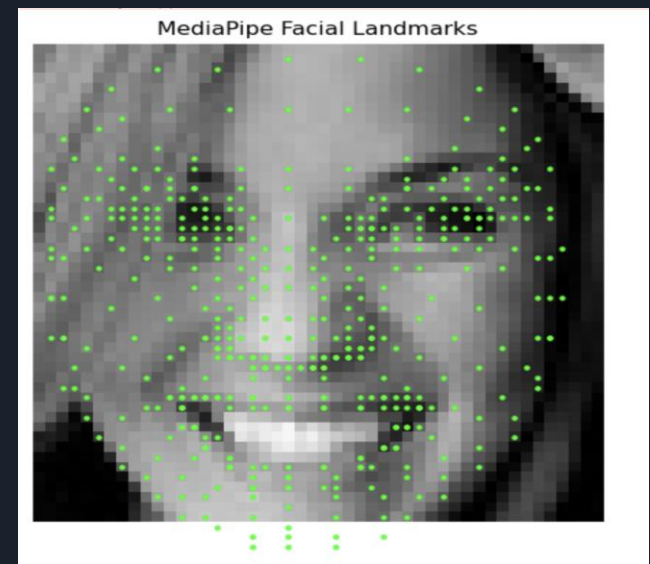
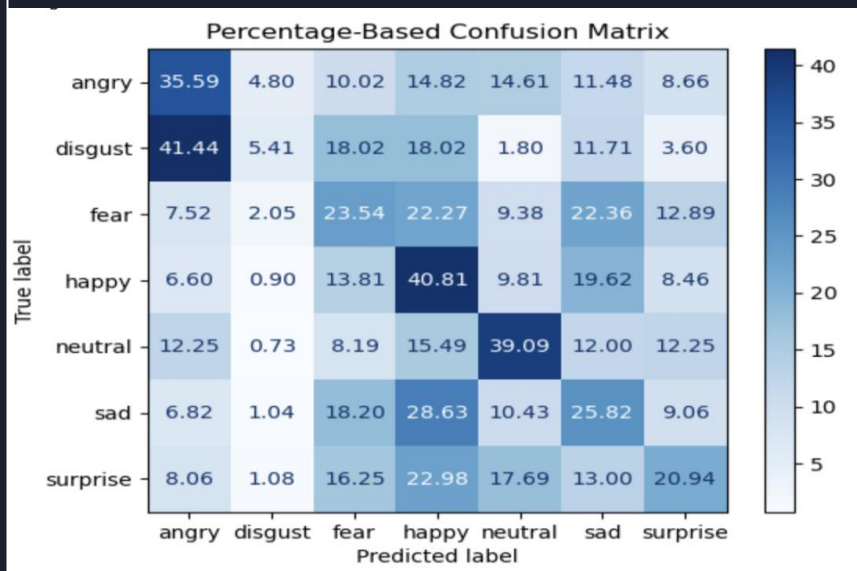
PCA + XGBoost: overall accuracy: 0.48
accuracy: 0.54

HOG & PCA + Gabor Filter & PCA + XGBoost: overall



Feature Extraction:MediaPipe

- Extract 478 3D facial landmark points per image.
- Output: Landmark-based geometric features representing facial structure.
- Remark: PCA with 15 components captures the full variance of the MediaPipe features

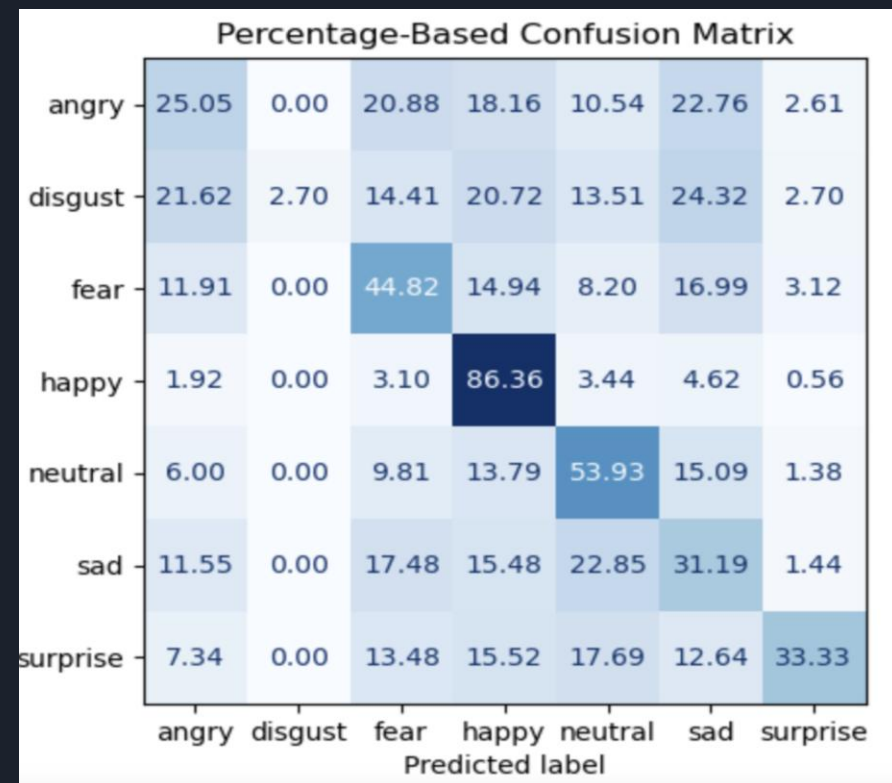
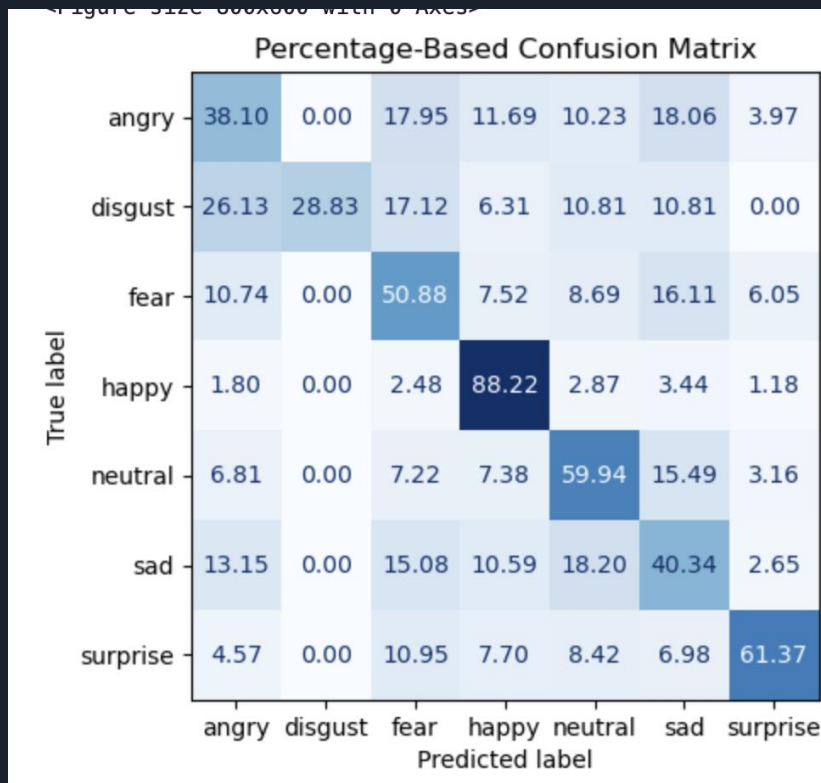


BaseLine Model: MediaPipe+PCA+KNN, overall accuracy = 34%

Classification Ensembles for MediaPipe

- PCA of 249 features with Gabor Filter captures 99.5% Variance
- Concatenate total 269 features from Gabor Filter and MediaPipe
- Apply Random-Forest and XGBoost as classifiers

Figure Size: 600x600 with 6 Axes

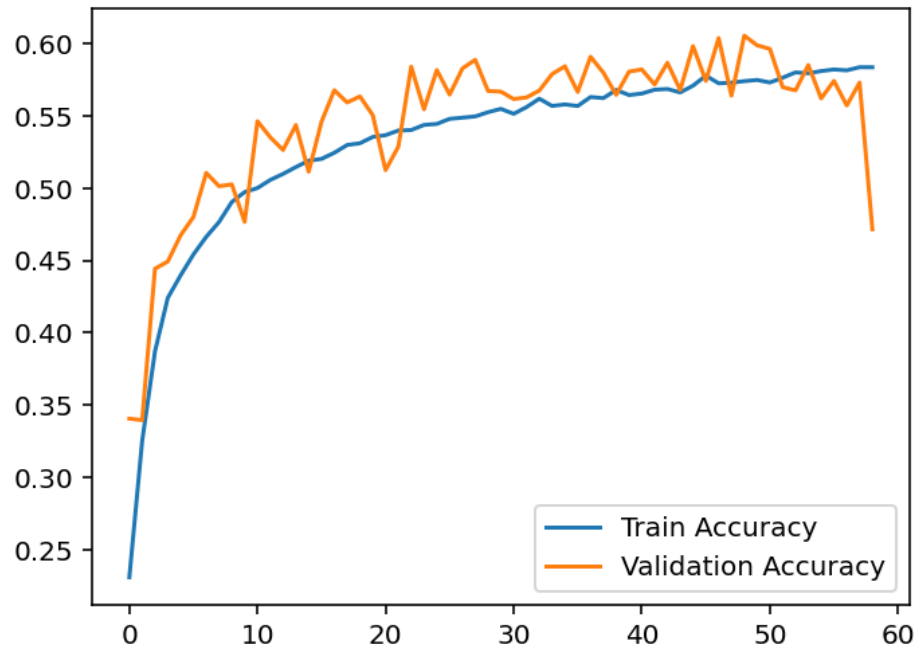


Deep Learning Approach: CNN

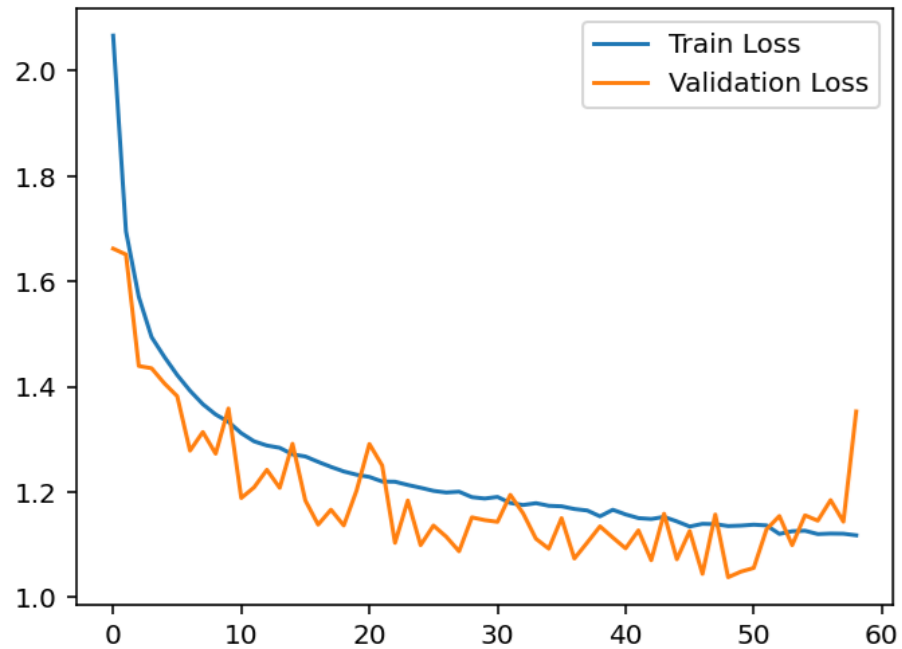
- - Training Strategy:
- * Loss function: Categorical cross-entropy.
- * Optimizer: Adam with learning rate scheduling.

Deep Learning Approach: CNN

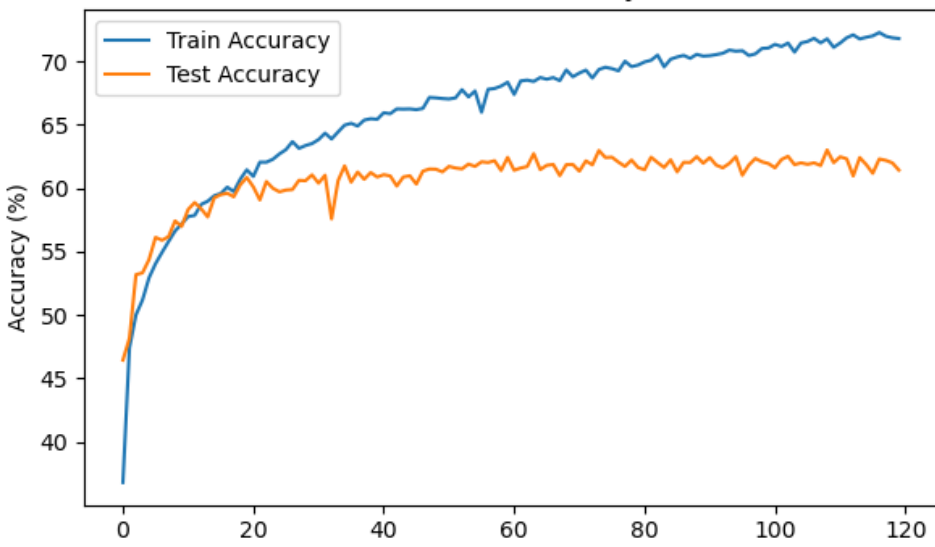
Accuracy



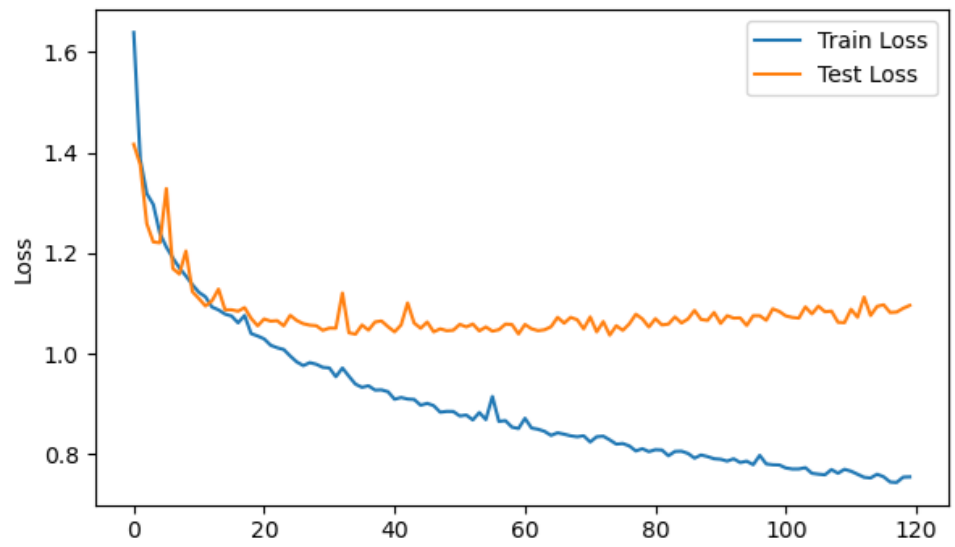
Loss



Train/Test Accuracy



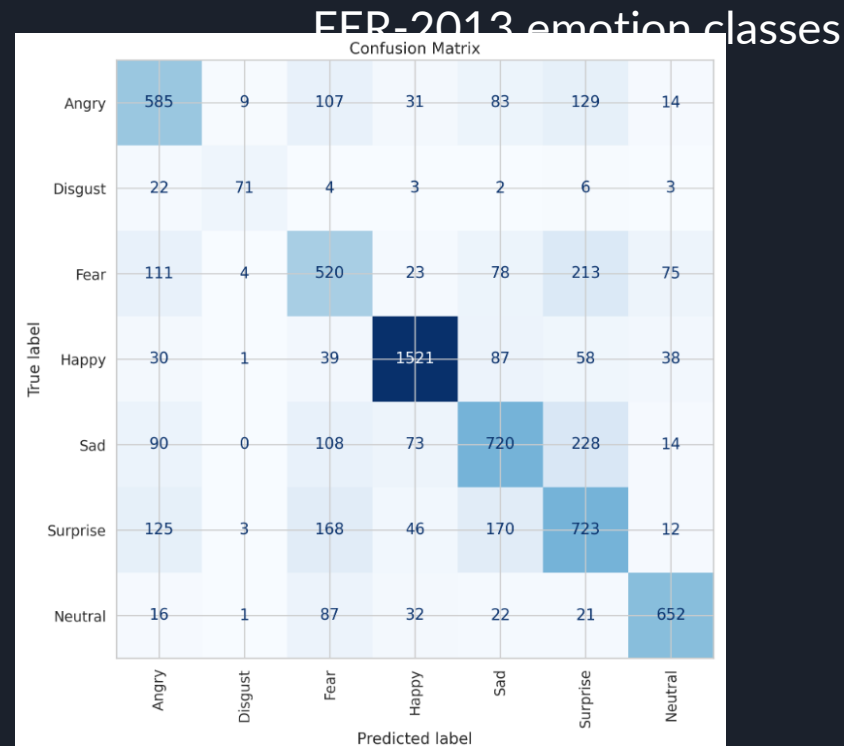
Train/Test Loss



Transfer Learning Approach: ResNet50

ResNet50: a 50-layer deep convolutional neural network pre-trained on ImageNet

Fine-tuning for FER-2013: replace the fully connected layer to output the number of



Future Work

- Consider preprocessing techniques that account for low-resolution images
- Explore alternative methods such as EfficientNet or Vision Transformers
- Explore explainability techniques, such as Grad-CAM or SHAP, to better understand model predictions
- Investigate the integration of emotion recognition into real-world systems, such as interactive VR or mental health monitoring tools